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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of

Kazunori UKIGAWA, et al.

Appln. No.: 09/775,591

Docket No: Q62966

Group Art Unit: 2166

Confirmation No.: 9264

Examiner: Not Yet Assigned

Filed: February 5, 2001

For: ACCOUNT SETTLEMENT METHOD IN ONLINE SHOPPING

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents  
Washington, D.C. 20231

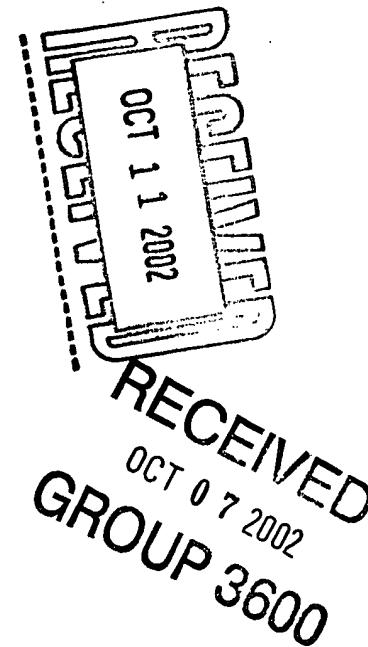
Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) (substitute for PTO Form 1449) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits the following explanations:



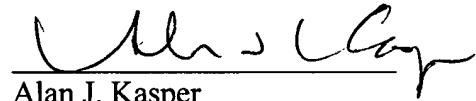
INFORMATION DISCLOSURE STATEMENT  
U.S. Appln. No.: 09/775,591

1. Unexamined Japanese Patent Application Kokai Publication No. H11-296583 discloses an agent server;
2. Unexamined Japanese Patent Application Kokai Publication No. 11-327717 discloses that another window is opened separately from the contents.
3. Unexamined Japanese Patent Application Kokai Publication No. 11-296584 discloses that another window is opened separately from the contents.
4. Unexamined Japanese Patent Application Kokai Publication No. 11-195063 discloses that another window is opened separately from the contents.

Complete English abstracts of foreign language documents are being submitted herewith, and therefore no concise explanation for such foreign language documents is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,



\_\_\_\_\_  
Alan J. Kasper  
Registration No. 25,426

SUGHRUE MION, PLLC  
2100 Pennsylvania Avenue, N.W.  
Washington, D.C. 20037-3213  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

Date: October 4, 2002

Substitute for Form 1449 A & B/PTO		<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		Application Number	09/775,591
		Confirmation Number	9264
		Filing Date	February 05, 2001
		First Named Inventor	Kazunori UKIGAWA
		Art Unit	2166
		Examiner Name	Not Yet Assigned
Sheet	1	of	1
		Attorney Docket Number	Q62966

## **U.S. PATENT DOCUMENTS**

**RECEIVED**  
OCT 07 2002  
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## FOREIGN PATENT DOCUMENTS

#### **OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

<b>Examiner Signature</b>		<b>Date Considered</b>	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.